



IFW 3692

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Total Number of Pages in This Submission

9

Application Number

09/923,035

Filing Date

August 6, 2001

First Named Inventor

John Nafeh

Art Unit

3692

Examiner Name

Timothy M. Harbeck

Attorney Docket Number

PA3817US

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Carr & Ferrell LLP			Cust. No. 22830
Signature	<i>K. Brian Bathurst</i>			
Printed name	K. Brian Bathurst			
Date	August 13, 2007	Reg. No.	51,442	

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Signature	<i>K. Brian Bathurst</i>		
Typed or printed name	K. Brian Bathurst, Reg. No. 51,442	Date	August 13, 2007

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IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

APPLICANTS: John Nafeh and Kenton K. Yee
SERIAL NO.: 09/923,035
CONF. NO.: 8962
FILING DATE: August 6, 2001
TITLE: Methods and Apparatus for Formulation, Initial Public or Private Offering,
and Secondary Market Trading of Risk Management Contracts
EXAMINER: Timothy M. Harbeck
ART UNIT: 3692
ATTY. DKT. NO: PA3817US

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date printed below:

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Mail Stop Amendment
Commissioner for Patents
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Response to Restriction Requirement

Dear Examiner Harbeck:

In response to the Restriction Requirement mailed on July 12, 2007, please amend the above-identified application as follows and consider the following remarks: